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# Indirect and Direct Evidence in Oncology



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**Greenebaum Cancer Center of the University of Maryland in Baltimore and the Baltimore VA Medical Center**



Tábor,  
thank you for having us



# Indirect and Direct Evidence in Oncology - Goals

- Contrast indirect evidence with direct evidence
- “Evidence Based Medicine ” (EBM) elevated indirect evidence but is less useful in oncology in particular in the era of biologicals
- Show that the heterogeneity of cancer makes direct evidence very valuable for oncology
- Sources of cancer heterogeneity and its relevance for targeted therapy
- Modern “Biomarker” driven studies
- Future

# The Court Room Vignette

- A convenience store owner in the Bronx was shot
- An 85 years old white lady was caught on security cameras and confessed when shown the images
- Her public defendant produced statistics showing that
  - 98 percent of crimes in the Bronx are committed by black males
  - 90 percent of the perpetrators are below the age of 35 and
  - 99.9 percent below the age of 75
- He argues that the old lady is innocent and should be exonerated



I vote for  
direct  
evidence  
and  
conviction

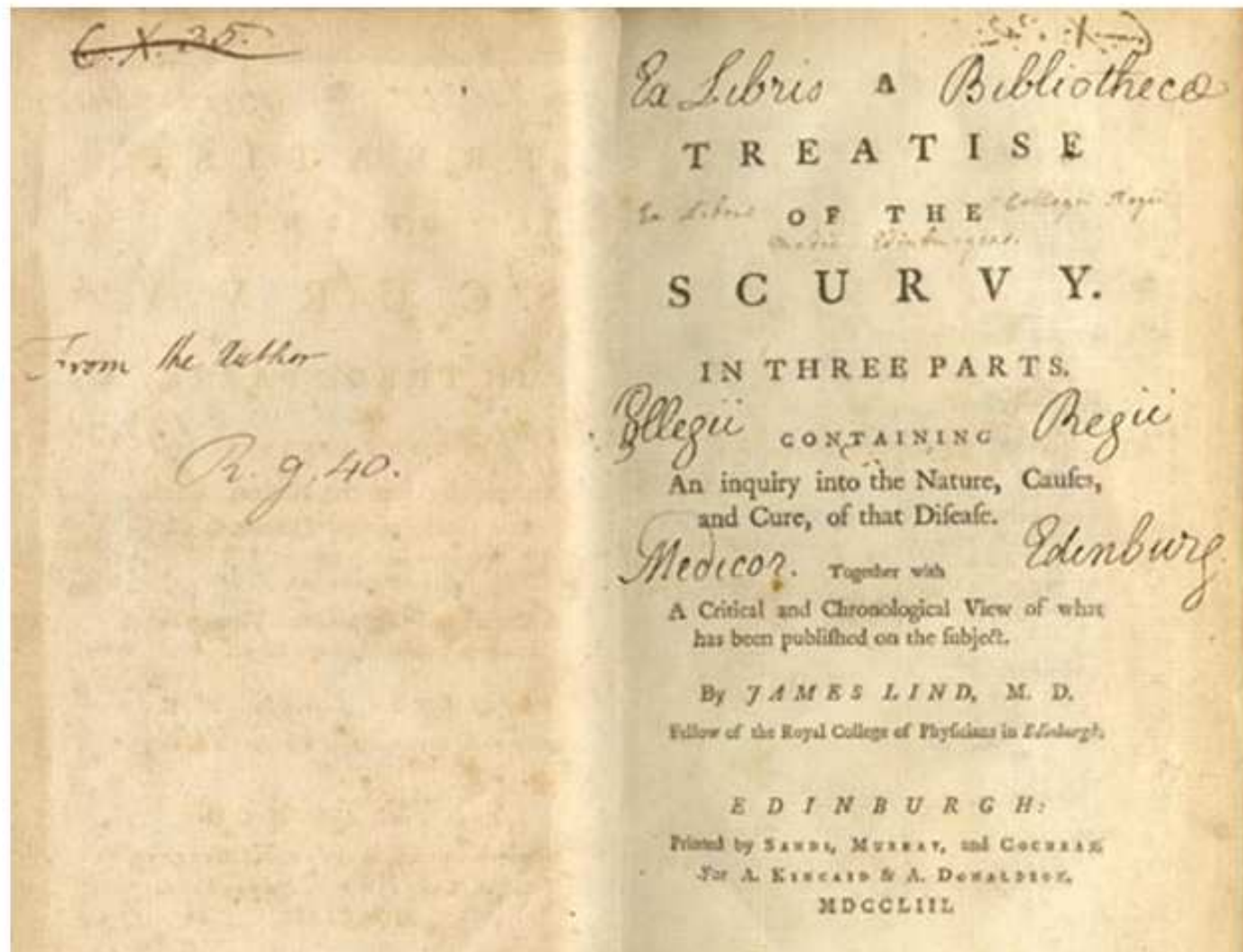


- You are the judge, do you trust the indirect evidence of perfect statistics or the direct evidence captured by a videocamera?

# Medicine Before The Era of Evidence-Based-Medicine

- Keen observation
- Reliance on ancient authorities and often centuries old textbooks
- Practice driven by
  - Tradition
  - Scientific hypotheses – which were mostly wrong as a consequence of the complexity of biological systems
- Therefore, most of the interventions unhelpful

# The First Clinical Trial





# The First Clinical Trial - Scurvy

- Captain James Lind studied citrus fruits in the diet to prevent scurvy on board of HMS Salisbury in 1747
- All scurvy patients were given the same general diet supplemented with various additional items and divided into 6 groups, 2 patients each
  - Cider
  - Elixir of vitriol
  - Vinegar
  - Seawater
  - Nutmeg
  - Oranges and lemons
- In just six days, those patients taking citrus fruits were fit for duty
- Although the results were clear, Lind hesitated to recommend the use of oranges and lemons because they were too expensive. It was nearly 50 years before the Navy eventually made lemon juice a compulsory part of the seafarer's diet, and this was soon replaced by lime juice because it was cheaper

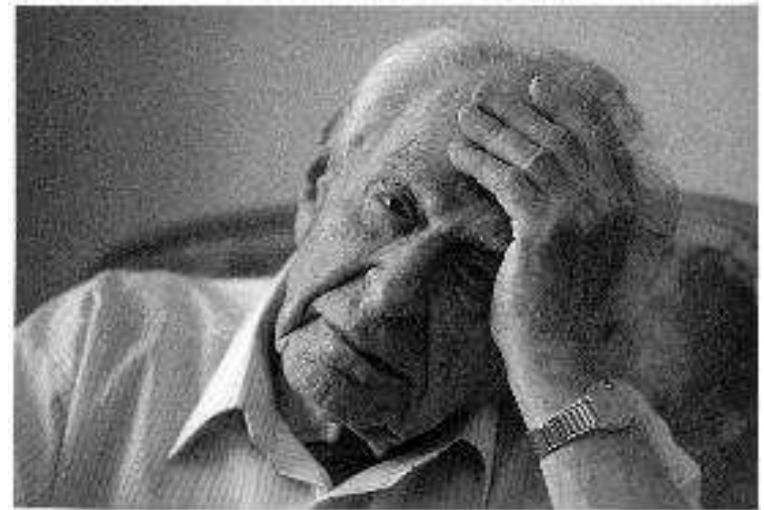


# Sir Karl Popper (1902-1994)

Proposed that scientific theories are hypotheses from which statements testable by observation can be deduced.

If observations falsify these statements, the hypothesis is refuted.

If the hypothesis survives efforts to falsify it, it may be tentatively accepted, although no scientific theory can be conclusively established.



Picture of Karl Popper taken by MUDr. Milan Jíra in Prague in 1994

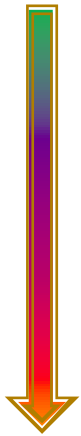
*Statistical Inference -> Sir Ronnie Fisher*

# Evidence

- Indirect evidence
  - Collected from patients who carry the same diagnosis as the patient who is to be treated – traditional clinical trials

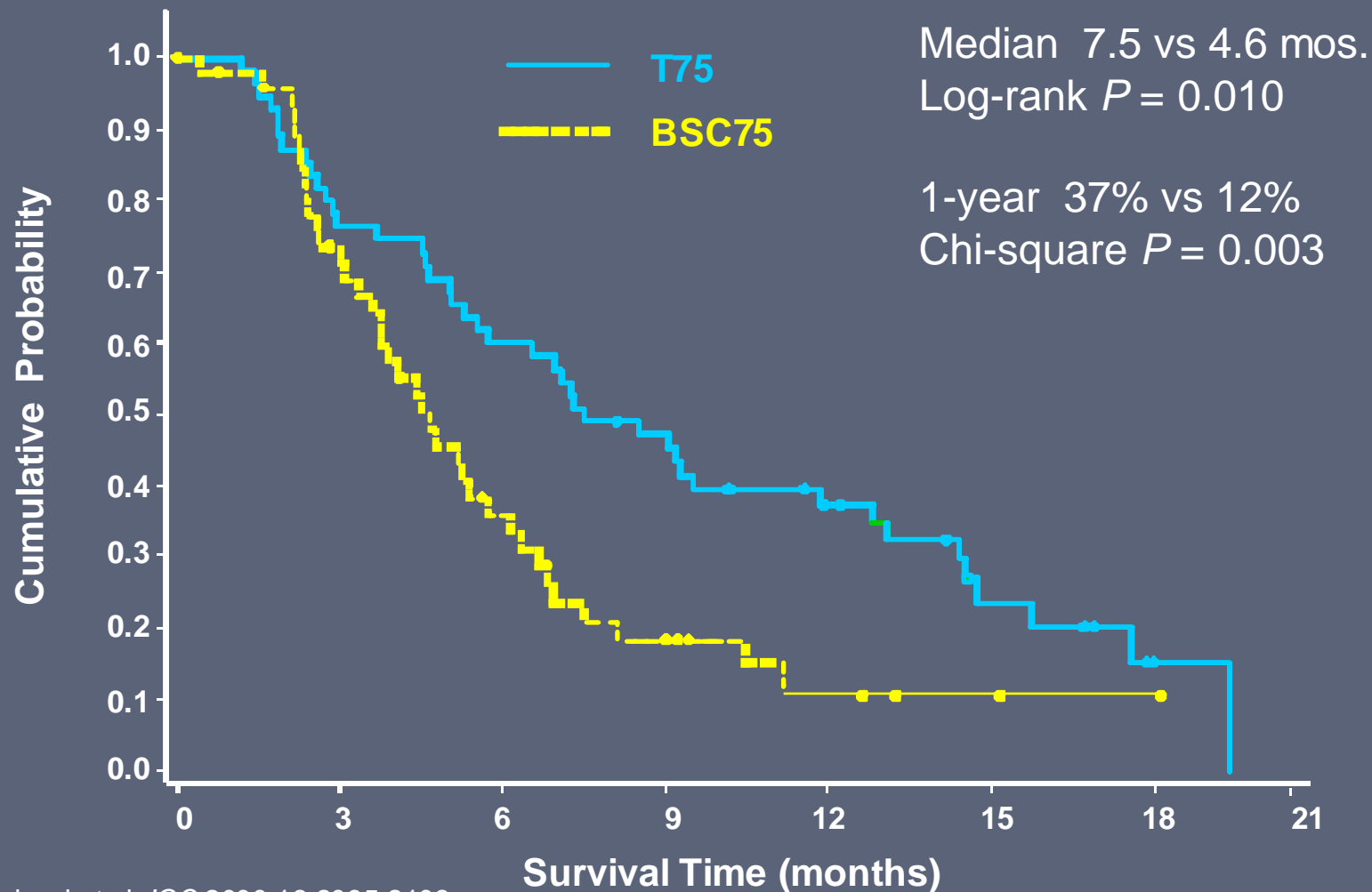
# Evidence-Based-Medicine

- The practice of medicine has to be build on structured clinical observation and **unbiased** data collection – clinical trials
- Meta-analysis of randomized clinical trials has the highest validity of evidence
- Single randomized trial
- 
- 
- Case history = direct observation
  - Has the lowest validity for generalization
  - **The highest validity for individual patients**



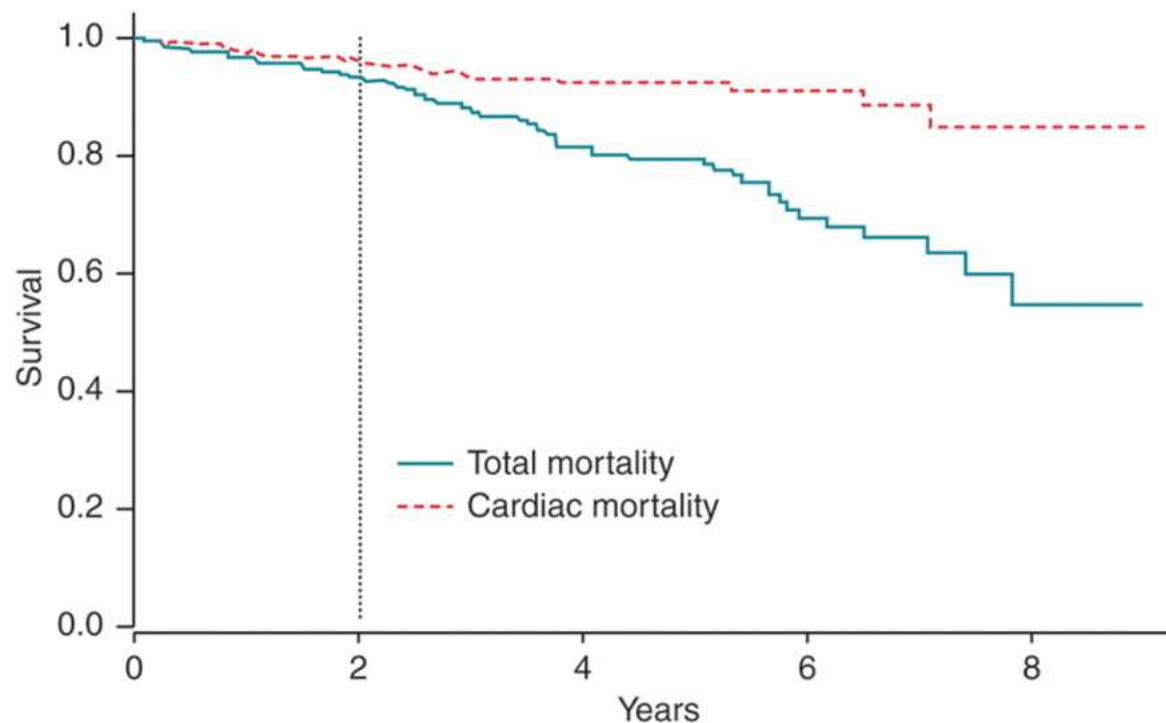
# TAX 317B - Survival

## Taxotere 75 mg/m<sup>2</sup> vs BSC



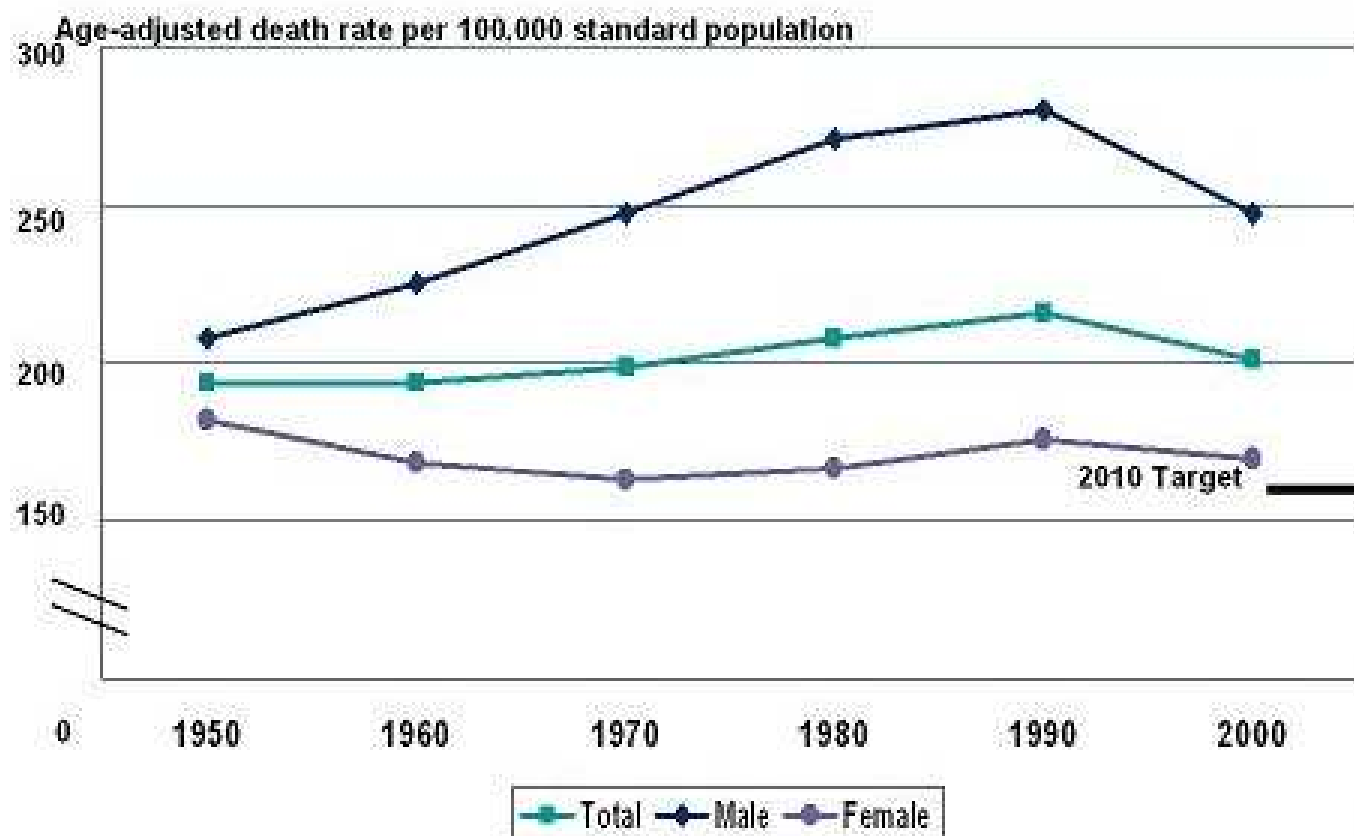
# EBM Contributed Substantially To Recent Successes Of Medicine

- Advances in internal medicine
  - **Cardiac** mortality substantially decreased





# Total Cancer Mortality: by sex, 1950-2000



Note: Data are age adjusted to the 2000 standard population.

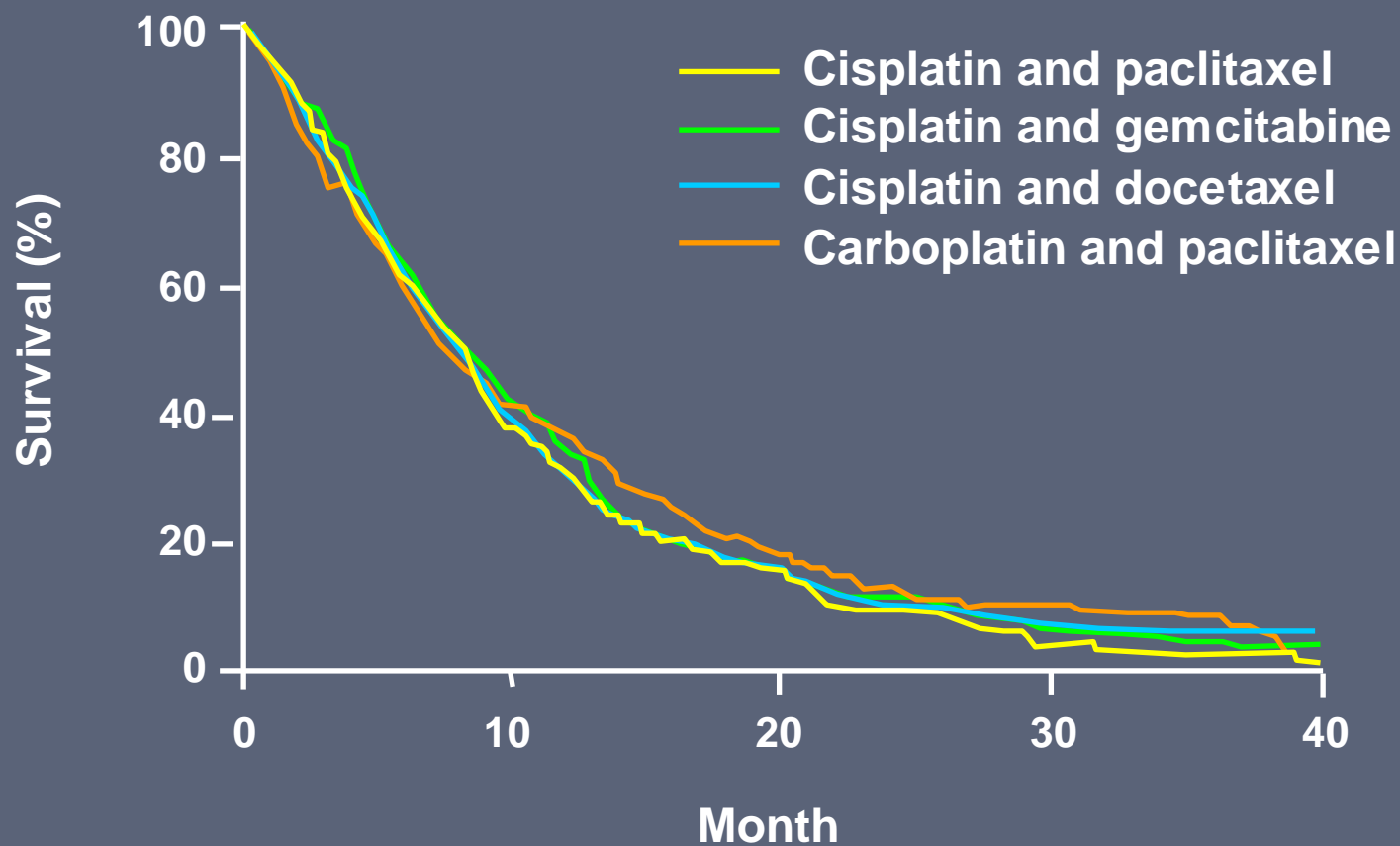
Source: National Vital Statistics System-Mortality (NVSS-M), NCHS, CDC.



# EBM Contributed Substantially To Recent Successes Of Medicine

- Advances in internal medicine
  - Cardiac mortality substantially decreased
- Some advances in surgery
- Advances in oncology recently hit a ceiling
  - E.g. Last 6 large trials in non-small cell lung cancer chemotherapy did not lead to improvement with exception of personal

# ECOG 1594: Kaplan-Meier Estimates of Overall Survival



# EBM Contributed Substantially To Recent Successes Of Medicine

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- Advances in oncology recently hit a ceiling
  - E.g. Last 6 large trials in non-small cell lung cancer chemotherapy did not lead to improvement with exception of personal
- Crisis of evidence based medicine  
overreliance on indirect evidence and under-appreciation of direct evidence

# Populations Studied

- The population of patients studied in clinical trials does not represent any patient population
- Highly selected, dedicated patients are enrolled
- Only a very small part of the patient population is being studied (2%), the rest of the information is wasted
- Even that small size might decrease in the future

# Criticism Of Clinical Trials In Medicine

- This system based on clinical trials is largely accidental, carrying on the “frozen accidents” of former trials, which were often irrelevant
- The whole system of trials in a given disease might explore an accidental branch of possibilities that is far removed from relevant and optimal therapy.
- The questions asked through clinical trials form a self-serving historically developed system



# Application of results

- Given the selection of the population the application of results gained is not straight forward
- Given the absence of other associated information in clinical trials, the “refinement” or individualization in specific patient situations encountered every day is difficult or impossible

# Timing

- Due to slow accrual trials take to long
- Questions answered by a trial are at the time of publication often irrelevant
- There is no mechanism for coordination of trials which would provide answers in a logical manner

# Simplicity

- The simplicity of the questions asked makes the results irrelevant in most patient situations, particularly if an individualized approach is tried
- Correlated information is not available
- Very small trials often impact on big patient populations so that patients are treated according to results obtained in a group that did not contain a single patient similar to the treated one

# Indirect Information Inappropriately Favored

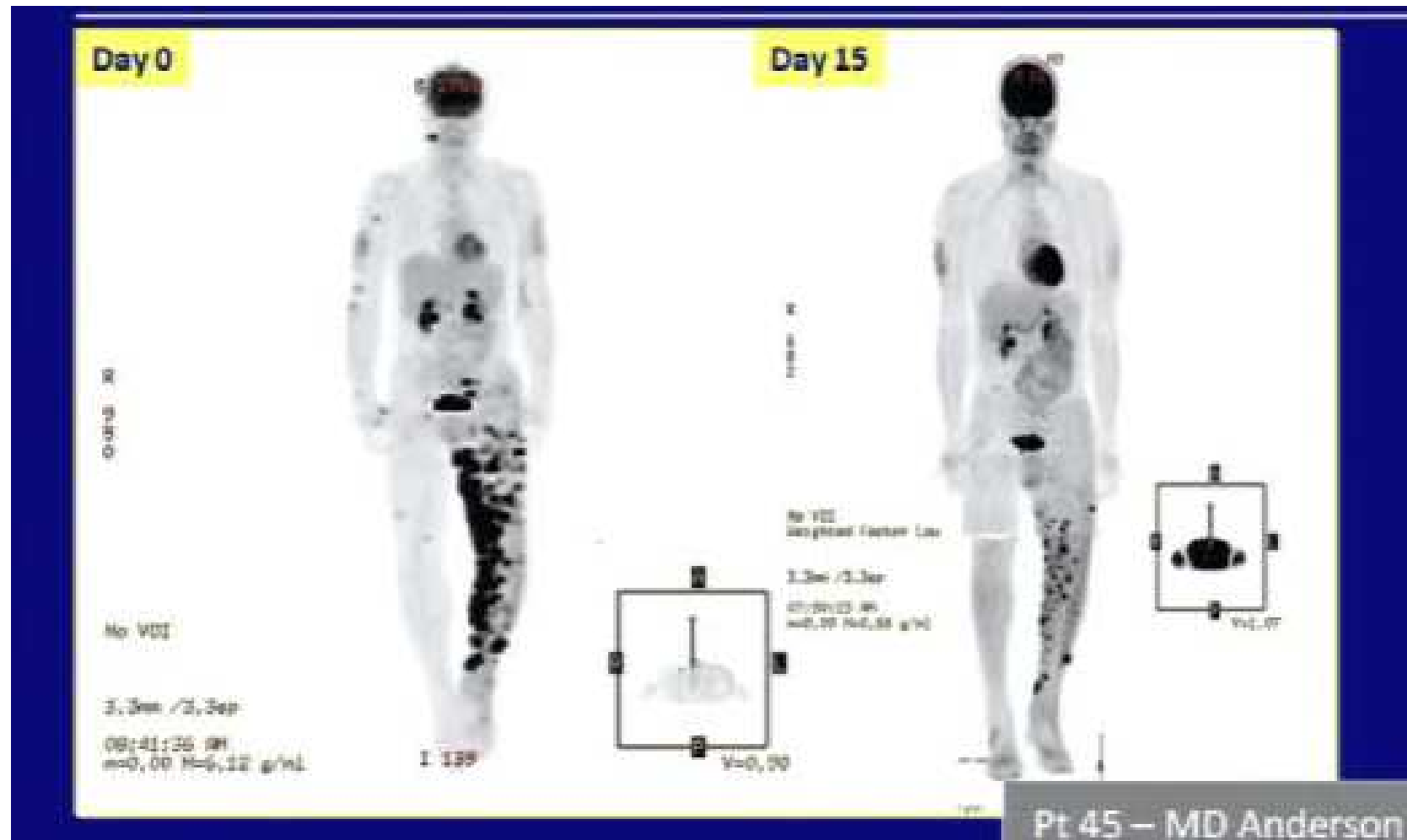
- Relative to any patient that has to be treated, information gained from trials is always indirect
- Using this indirect information to override direct information, if available, is a common mistake
- E.g. response to earlier chemotherapy might guide future chemotherapy better than information gained from a trial

# Direct Evidence

- Indirect evidence
  - Collected from patients who carry the same diagnosis as the patient who is to be treated – traditional clinical trials
- Direct evidence
  - Collected from the patient
    - Detailed clinical **history** usually available including epidemiologic information (e.g. smoking history), pharmacogenomics
  - Collected from the patient's cancer
    - **Pathology** and molecular pathology unique to the patient
  - **Response** to previous and current therapy (feed-back information)

# Feedback:

## PET at Baseline and D15 After PLX4032

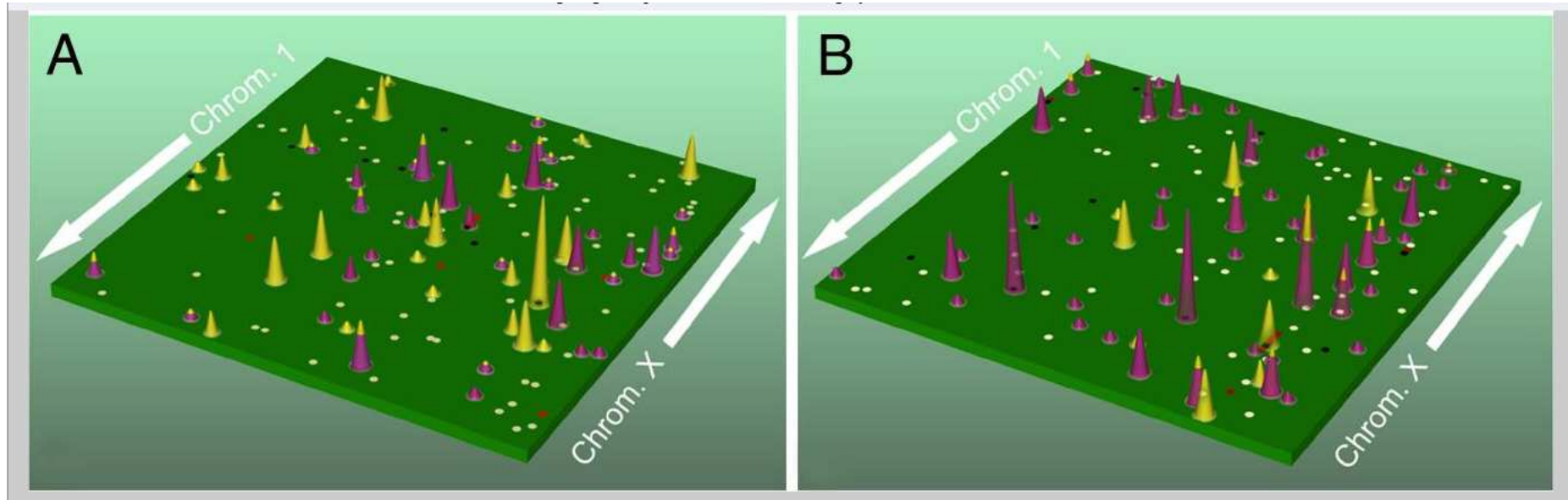




# Why This Agent or Combination of Agents Active In This Disease?

- Specificities of biology of the disease
- Stage – spread
- Tumor volume doubling time
- Chemodistribution
- % hypoxic, necrotic
- % growth phase
- Metastatic potential and preferential sites
- Apoptotic “readiness” of the cancer population
- Immunogenic and Antigenic potential

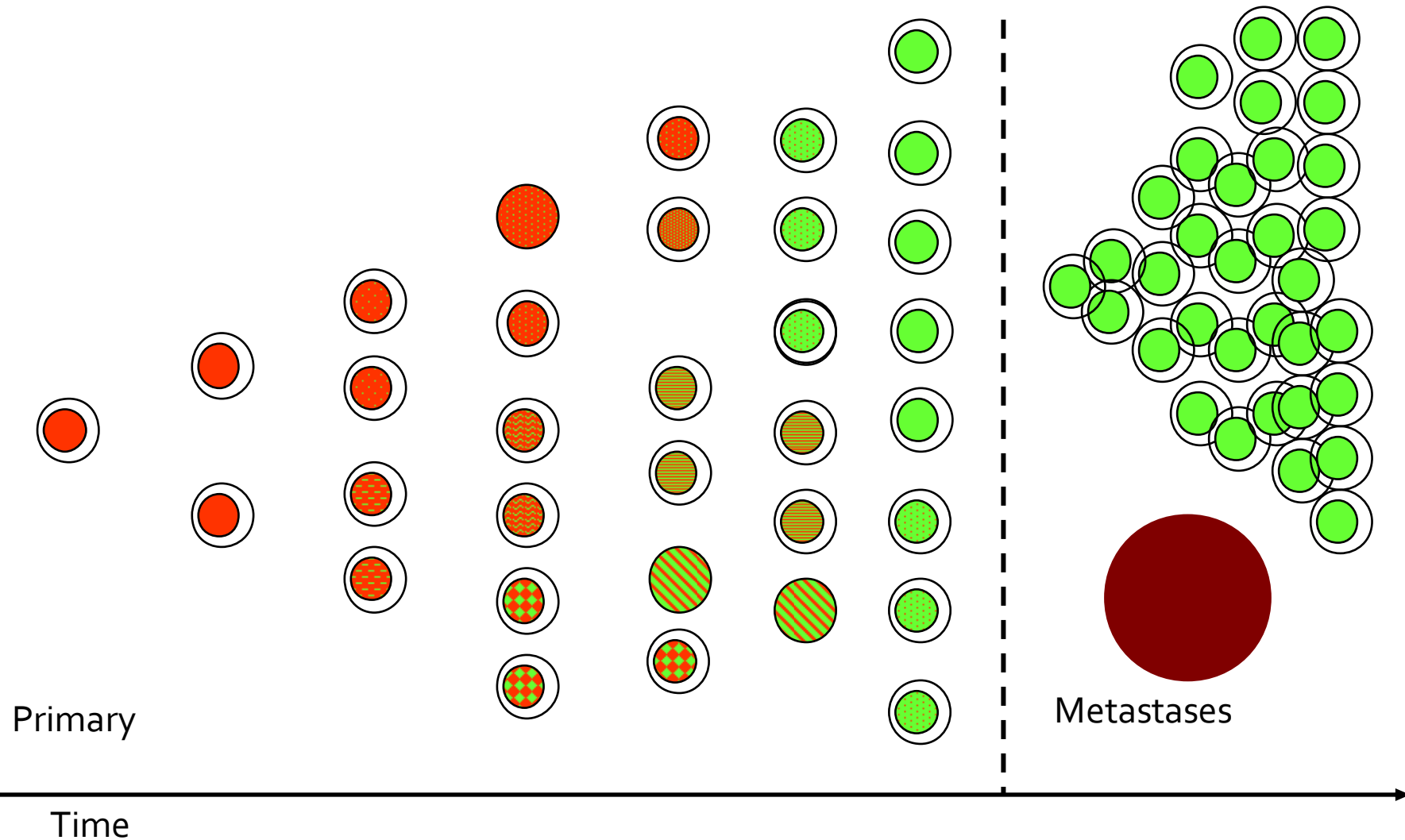
# Significant Heterogeneity Of Cancers



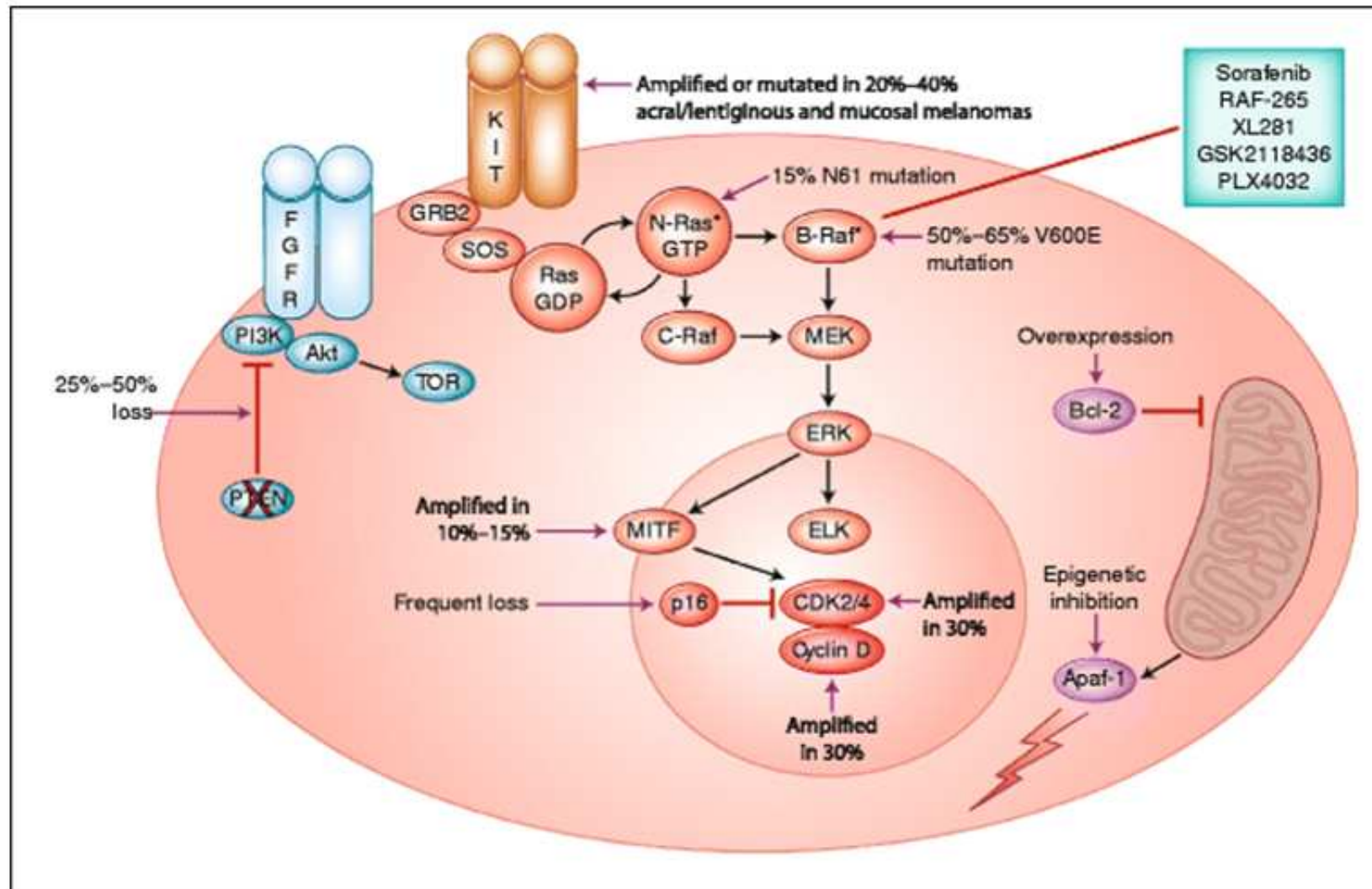
Genomic landscape of copy number and nucleotide alterations in two typical cancer samples. **A** indicates breast cancer alterations, whereas **B** indicates colorectal cancer alterations.

Leary, RJ.: Proc Natl Acad Sci U S A. 2008 Oct 21;105(42):16224-9

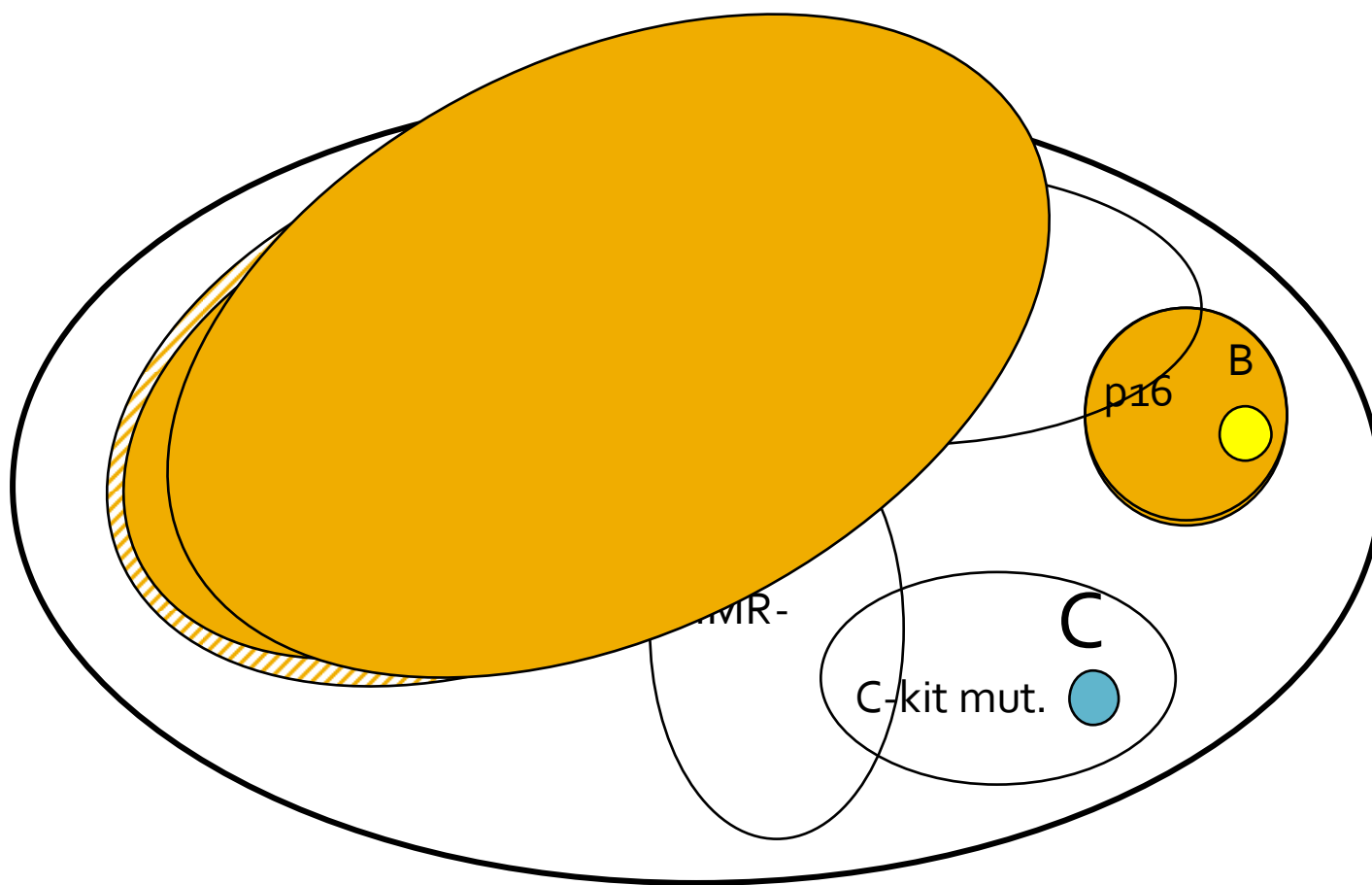
# Chemosenstivity of Primary Contrasted with Metastases



# Melanoma: MAPK Pathway Defects and Fixes



# *Clinical Trials Optimize Therapy For The Largest Subgroup*



# The First Clinical Trial Was in Fact Very Modern

- Captain James Lind studied citrus fruits in the diet to prevent scurvy on board of HMS Salisbury in 1747
- All scurvy patients were given the same general diet supplemented with various additional items and divided into 6 groups, 2 patients each
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# Power Calculations

## Unselected population

### One Sample Test Using Percentage Values

☐ One-Tail Test (Hypothesis that Percentage is greater than some test value or Percentage is less than some test value, but not both)

☒ Two-Tail Test (Hypothesis that Percentage is not equal to some test value)

To calculate the minimum possible statistical power, use a test value of 50% which produces the largest possible variance (also known as the "Most Pessimistic Variance Assumption").

Test Value (%):  (Value to compare the sample percentage to)

Sample (%):  Percentage (Value measured from sample or expected from sample)

Sample Size:  (Size of sample or desired number of respondents)

Alpha Error Level or Confidence Level:  (Probability of incorrectly rejecting the null hypothesis that there is no difference in the percentage values). An Alpha of 5% corresponds to a 95% Confidence Interval.

Calculate Sample Size

Statistical Power: 99.3%

## Selected population

### One Sample Test Using Percentage Values

☐ One-Tail Test (Hypothesis that Percentage is greater than some test value or Percentage is less than some test value, but not both)

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Alpha Error Level or Confidence Level:  (Probability of incorrectly rejecting the null hypothesis that there is no difference in the percentage values). An Alpha of 5% corresponds to a 95% Confidence Interval.

Calculate Sample Size

Statistical Power: 97%

# Cancer Therapy In a Historic Perspective



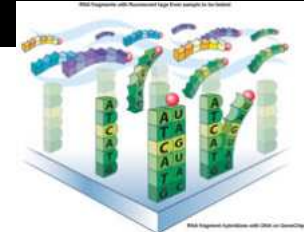
All diseases  
treated alike



Breast cancer  
identified and  
treated with hot  
iron, but known  
to be incurable



Hundreds of  
cancers  
recognized-  
surgery -  
radiation -  
chemo since  
WW2 -  
immuno



Some tumors  
further

**subcategoriz  
ed**

Molecular  
**staging**

21<sup>st</sup> Century

**metastasis**  
recognized

Uniqueness of  
each patient's  
**cancer**  
recognized

Uniqueness of  
each **patient**  
recognized

**Personalized  
Medicine**

Neolithic

Egypt  
1600 BC

19<sup>th</sup> and 20<sup>th</sup>  
Century



# BR.21 Study By Shephard

Table 2.

Survival Results From the BR.21 Trial of Erlotinib vs Placebo<sup>\*</sup>

End Points	Erlotinib (n = 488)	Placebo (n = 243)	p Value
Progression-free survival, mo	2.2	1.8	< 0.001
Overall survival, mo	6.7	4.7	< 0.001
1-yr survival, %	31	22	NA

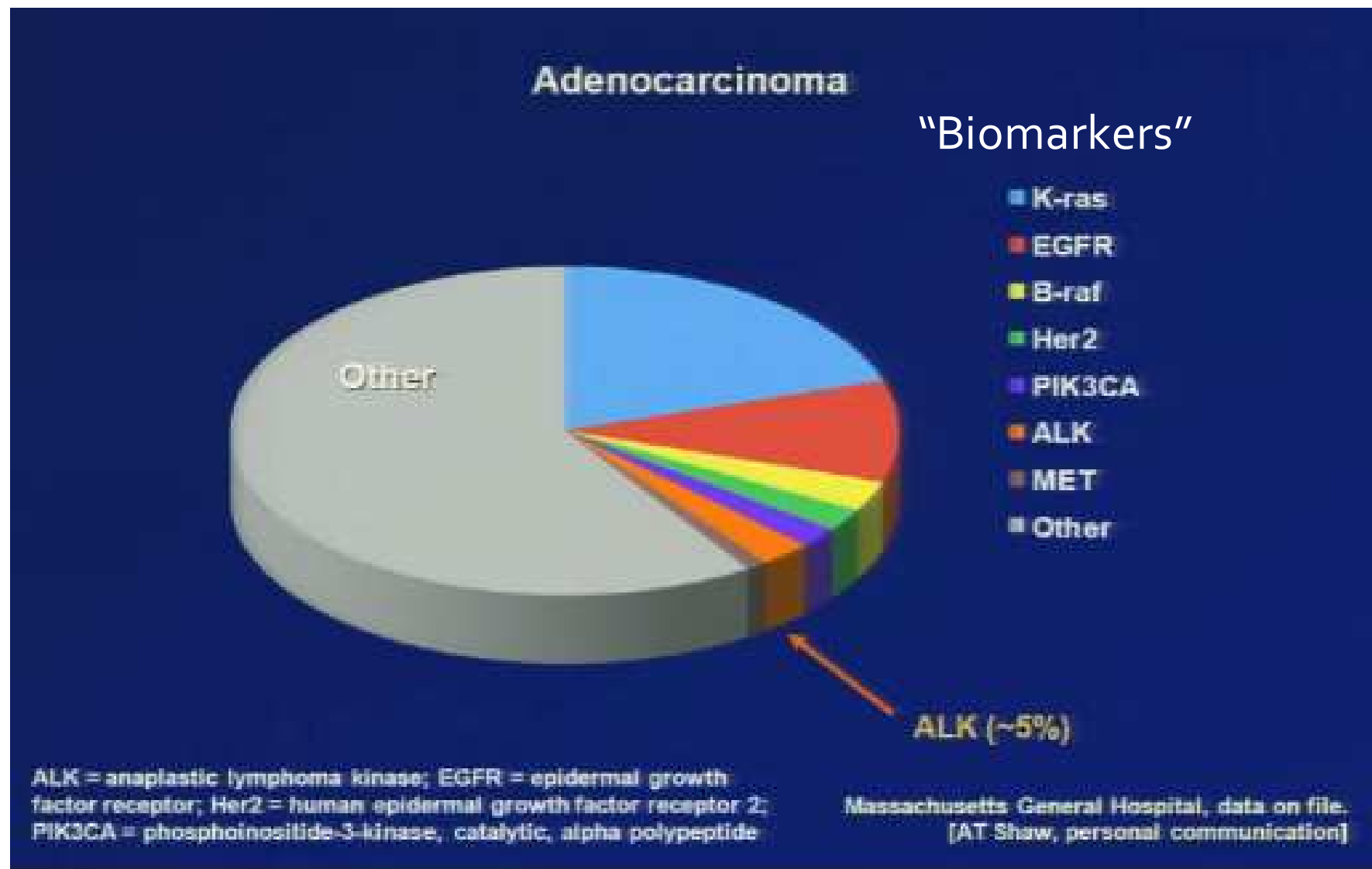
• \* Data are from Shepherd et al.<sup>28</sup> NA = not applicable.

# BR.21 Study By Shephard – Subset Analysis

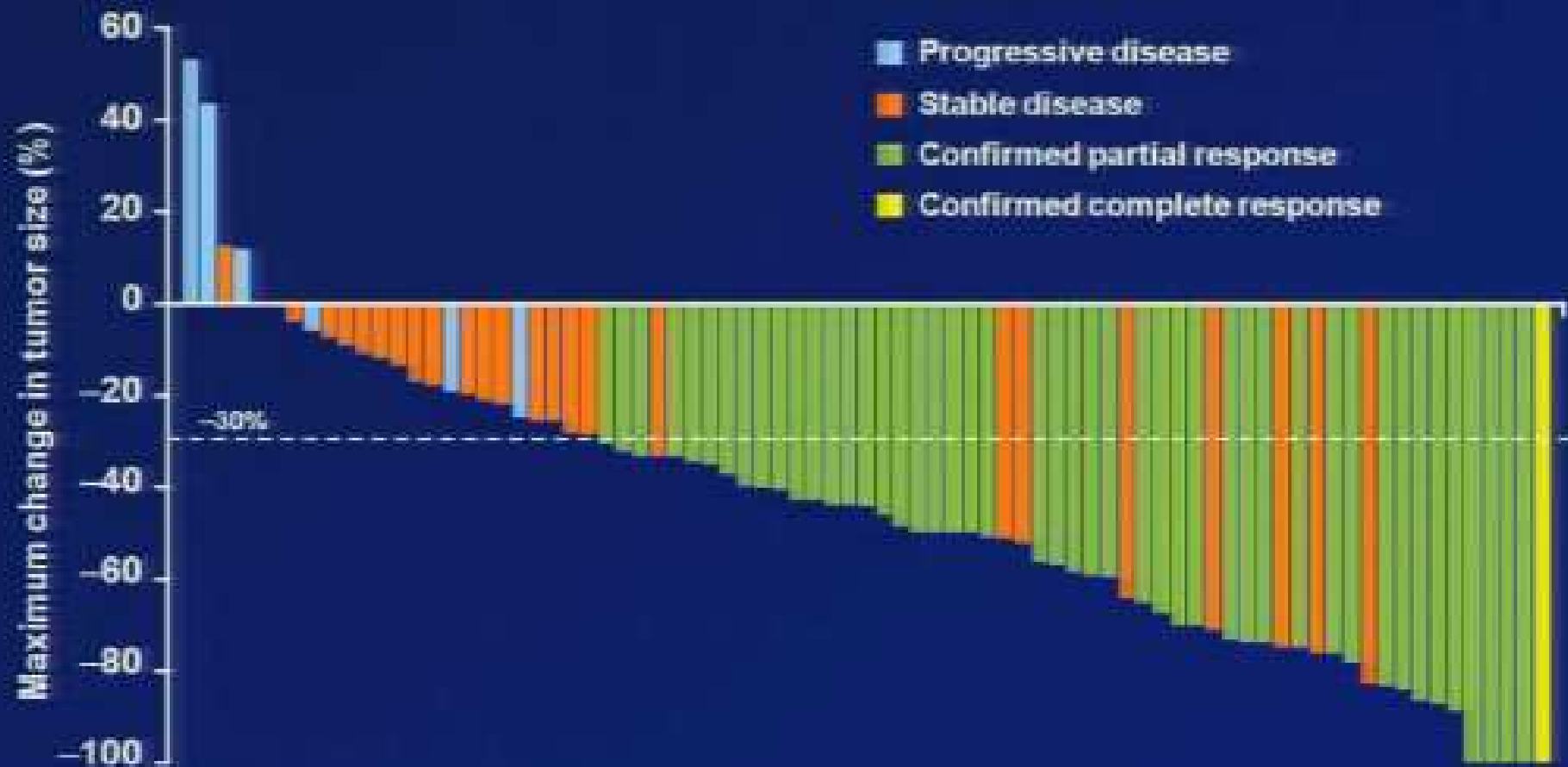
Test	Response Rate
EGFR expression <sup>[15,16]</sup>	
IHC-positive	11% to 21%
IHC-negative	4% to 5%
EGFR copy number <sup>[15-17]</sup>	
FISH-positive	20% to 36%
FISH-negative	2.5% to 11%
EGFR mutation <sup>[15,18-22]</sup>	
Mutation present	30% to 94%
Mutation absent	9% to 14%

Exon 19 deletion mutations

# Potential Oncogenic “Driver Mutations” in Non-small Cell Lung Cancer



# Tumor Response to Crizotinib for Patients with ALK-positive NSCLC

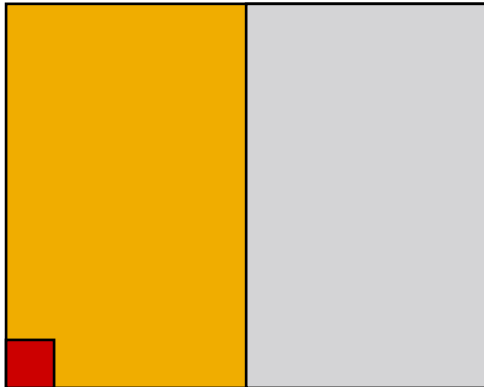


\*Partial response patients with 100% change have non-target disease present

# Clinical Trials in the Era of Personalization

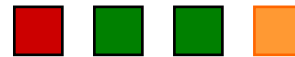
## Subdivide, Analyze

Start with a group and divide



## Synthesize

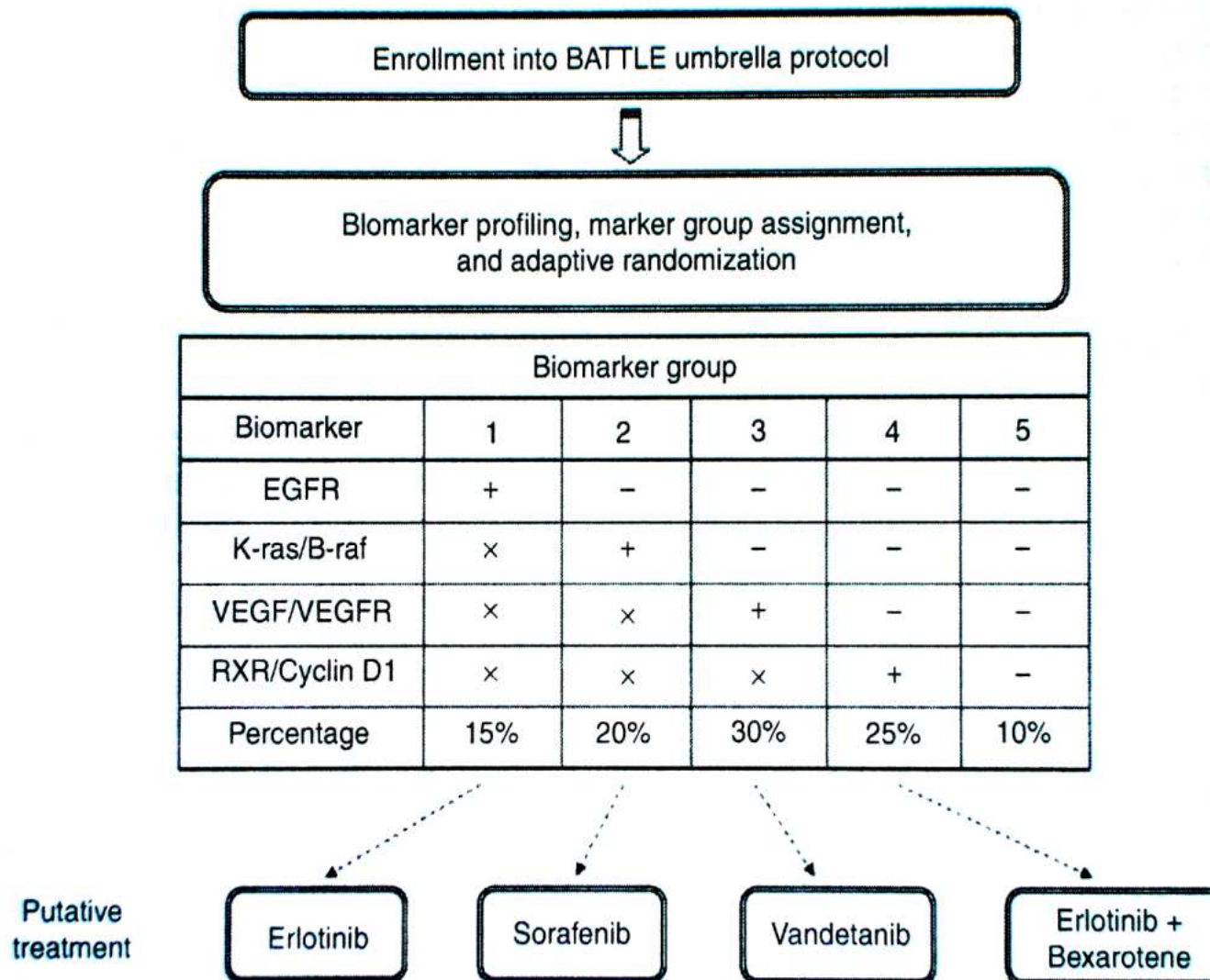
Test individuals then synthesize



"Shotgun approach"

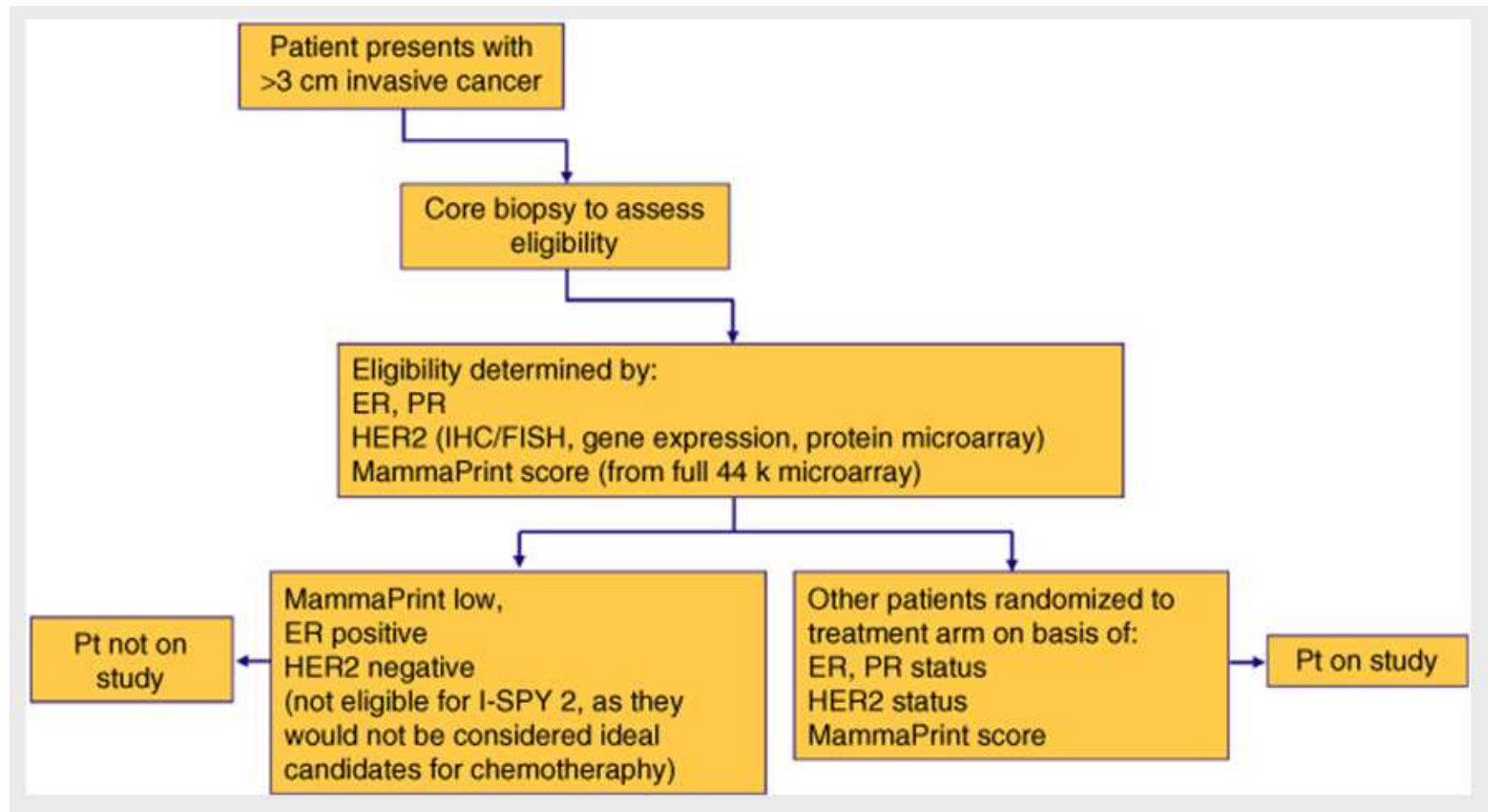
# Bayesian Adaptive Design

Zhou, X.: Clinical Trials 2008;5:181-193

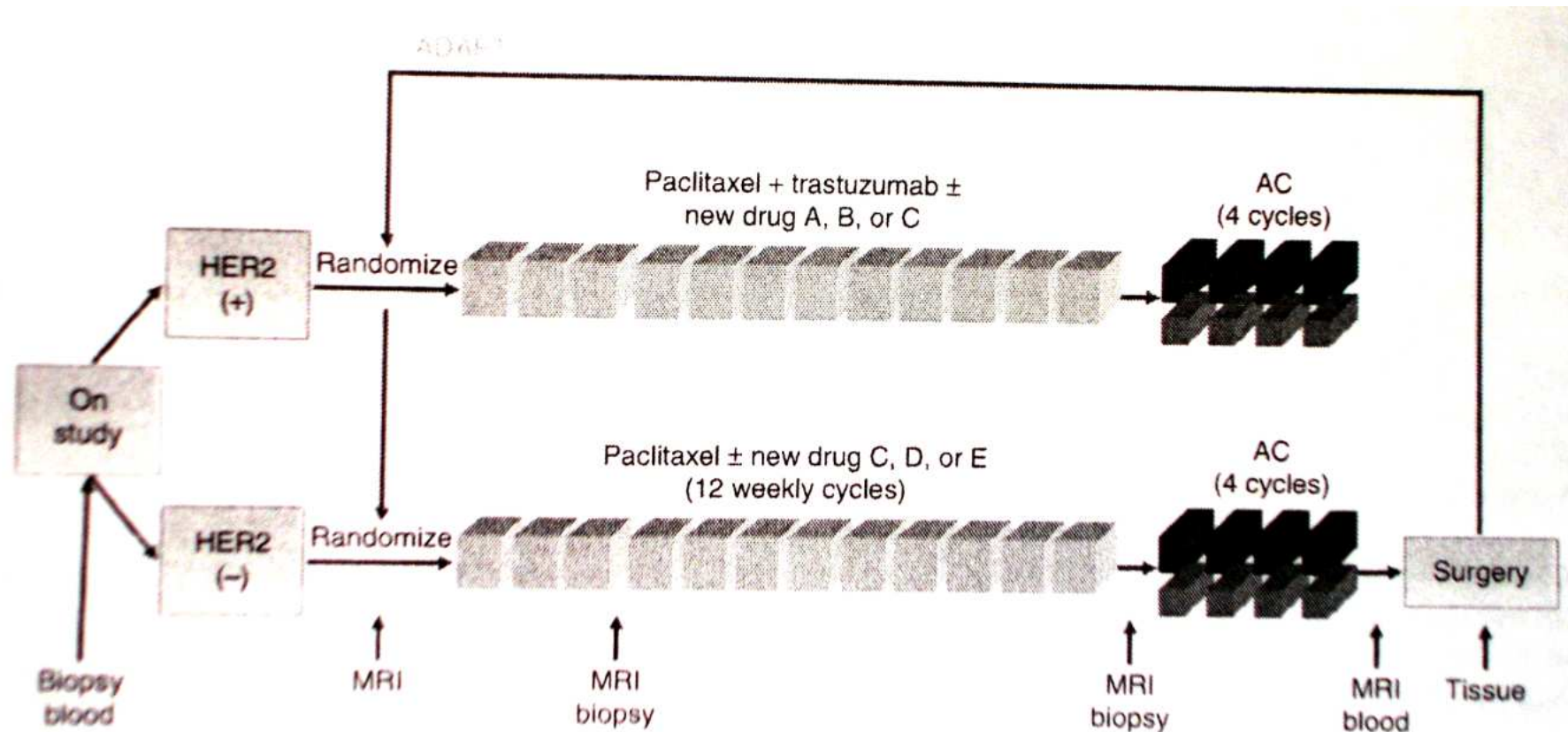




# I-Spy 2: An Adaptive Breast Cancer Trial Design in the Setting of Neoadjuvant Chemotherapy - Design



# I-Spy 2: An Adaptive Breast Cancer Trial Design in the Setting of Neoadjuvant Chemotherapy



Baraker, AD: Clin. Pharm. Therap. 2009, 1-

# Summary

- Emphasis on **indirect evidence** though extremely expensive has moved oncology significantly forward but recently hit its limit
- The heterogeneity of cancer makes indirect evidence often useless
- Biomarkers = driver mutations predict response
- Treatment based on the presence of driver mutations (**direct evidence**) is much better and can be tested on small numbers of patients
- These are being developed through novel Bayesian adaptive clinical trials

# Sometime Somewhere In The Future

- Ideal ??
  - Based on clinical history, imaging and molecular workup reconstruct oncogenic pathways, and from them understand etiology, timing, progression factors and predict sensitivity to therapy – then treat and cure
  - Towards this end
    - Identify driving mutations
    - Agents acting on these mutations
    - Agents working when the mutation mutates
    - Develop “theranostic” systems working 100% of time and lead to cure